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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	33773M061
First Inventor	Toru Kubota
Title	MACHINING APPARATUS EQUIPPED WITH ROTARY TOOL
Express Mail Label No.	

APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent application contents.				ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450					
2. Apr Se 3. Sp (pr - D - D - D - D - D - D - D - D - D -	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Applicant claims small entity status. See 37 CFR 1.27.					7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9. Assignment Papers (cover sheet & document(s))  10. 37 C.F.R. 3.73(b) Statement Power of (when there is an assignee) Attorney  11. English Translation Document (if applicable)			
4. 🛛 Dr	awing(s) (35 L	J.S.C.113) [To	tal Sheets	5 ]	12. 🔲	Information D			ies of IDS
5. Oath or D		•	al Sheets	1 ]	Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment				
		ed (original or copy rior application (37		3 (d))	14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)				
1	(for a continua	ation/divisional with	Box 18 c		15. Certified Copy of Priority Document(s)				
i. <u>L</u>	DELETION Signed stateme	OF INVENTOR ent attached deleting	(S) inventor(s)		(if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122				
. □ .a	named in the p 1.63(d)(2) and	rior application, see	37 CFR		<ul><li>(b)(2)(B)(i). Applicant must attach form PTO/SB/35</li><li>or its equivalent.</li></ul>				
					17.	Other:			
		CATION, check app		x, and supply	the requisi	te information b	elow and in a	a preliminary ame	endment,
or in an App ☐ Conti		neet under 37 CFR  Divisional		nuation-in-part	(CIP)	of prior	application No	o:/	
Prior ann	dication informat	— - ion: Examine	er			Art Uni	t:		
		ONAL APPS only: The sclosure of the accor	andim dia	closure of the	prior applic	ation, from which	h an oath or de porated by refe	eclaration is supple erence. The incorp	ied under Box 5b oration <u>can only</u>
be relied upo	n when a portion	has been inadverte	ntly omitted	from the subr	nitted applic	ation parts.			
			19. C	ORRESPON	IDENCE A	DDRESS	<del> </del>		
⊠ Custon	ner Number			004	41 		OR 🗆 C	Correspondence ac	ddress below
Name	Smith, Gambre	ell & Russell							
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Address Suite 800									
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Country	USA				(202) 263	-4300	Fax	(202) 263-43	29
					Registration	No. (Attorney/Ag	gent)	32,263	
Signature						Date	January 26, 200	)4	

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22311-31450.

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## **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TAL AMOUNT	OF	<b>PAYMENT</b>	(\$)	810

Complete if Known						
Application Number	To Be Assigned	<u> </u>				
Filing Date	Herewith					
First Named Inventor	Toru Kubota					
Examiner Name	To Be Assigned					
Art Unit	To Be Assigned					
Attorney Docket No.	33773M061					

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)						
					3. ADDITIONAL FEES						
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order					<u>Large</u>	Entity	Small E	intity			
☐ Deposit Account:						Fee	Fee	Fee	Fee	Fee Description F	ee Paid
Deposit				Code	(\$)	Code	(\$) 65	Surcharge - late filing fee or oath			
Account	02-4300				1	1051 1052	130 50	2051 2052	25	Surcharge - late filling fee of oath  Surcharge - late provisional filling fee	
Number 1						1032	30	2002	25	or cover sheet.	
Deposit 1						1053	130	1053	130	Non-English specification	
Account				1812	2,520	1812	2,520	For filing a request for reexamination			
Name The Director is a	uthorized to	: (check	all that apply)		J	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) i	ndicated bek	ow 🔯 ( ) durina	Credit any overp the pendency o	f this application	on	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) i	indicated belo	ow, exc	ept for the filing	g fee		1251	110	2251	55	Extension for reply within first month	
to the above-ident			JLATION			1252	420	2252	210	Extension for reply within second month	
4 DAGIO E	ILING FEE					1253	950	2253	475	Extension for reply within third month	
	Small Entity					1254	1,480	2254	740	Extension for reply within fourth month	
, 00	Fee Fee Code (\$)	Fee !	<u>Description</u>	Fee Paid	ı	1255	2,010	2255	1,005	Extension for reply within fifth month	
1000	Code (\$) 2001 385	l Itilita	y filing fee	770		1401	330	2401	165	Notice of Appeal	
	2001 363		gn filing fee	···•		1402	330	2402	165	Filing a brief in support of an appeal	
100=   1	2002 176		t filing fee			1403	290	2403	145	Request for oral hearing	
1004 770	2004 385	Reis	sue filing fee		$\exists$	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80	Prov	isional filling fee	L		1452	110	2452	55	Petition to revive - unavoidable	
	SUBTO	TAL (1)		(\$) 770		1453	1,330	2453	665	Petition to revive – unintentional	
					_	1501	1,330	2501	665	Utility issue fee (or reissue)	<b></b>
2. EXTRA CLA	AIM FEES					1502	480	2502	240	Design issue fee	<b>  </b>
1		Ext	tra Fee fro aims below	om Fee Paid	I	1503	640	2503	320	Plant issue fee	<b>—</b>
Total Claims 7	-20 **	= 0	× 🗀	= 0		1460	130	1460	130	Petitions to the Commissioner	.
Independent	===					1807	50	1807	50	Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure	·
Claims 1	-3 **	= 0	× [	= 0	$\exists$	1806	180	1806	180	Stmt	
Multiple Dependent  Large Entity   Small Entity						8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Fee Fee	Fee	Fee	Fee Description	1		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	`
Code (\$) 1202 18	2202	(\$) 9	Claims in excess			1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1201 86 1203 290	2201 2203	43 145	Independent clai Multiple depende			1801	770	2801	385	Request for Continued Examination (RCE)	
1203 290	2203	43	** Reissue indep			1802	900	1802		Request for expedited examination	-
			original patent ** Reissue claim	s in excess of 2	0 and	1002	300	, 1002	. 500	of a design application	
1205 18 2205 9 Reissue claims in excess of 20 and over original patent						Other fee (specify)					
		SUBT	TOTAL (2) (\$	) 0		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40					
**or number pre	**or number previously paid, if greater; For Reissues, see above										

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Michael A. Makuch	Registration No (Attorney/Agent)	32,263	Telephone	(202) 263-4300			
Signature	1000			Date	January 26, 2004			

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